

Color-tuned neurons are spatially clustered according to color preference within alert macaque posterior inferior temporal cortex

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Edited by David H. Hubel, Harvard Medical School, Boston, MA, and approved August 21, 2009 (received for review October 29, 2008)

Large islands of extrastriate cortex that are enriched for color-tuned neurons have recently been described in alert macaque using a combination of functional magnetic resonance imaging (fMRI) and single-unit recording. These millimeter-sized islands, dubbed “globs,” are scattered throughout the posterior inferior temporal cortex (PIT), a swath of brain anterior to area V3, including areas V4, PITd, and posterior TEO. We investigated the micro-organization of neurons within the globs. We used fMRI to identify the globs and then used MRI-guided microelectrodes to test the color properties of single glob cells. We used color stimuli that sample the CIELUV perceptual color space at regular intervals to test the color tuning of single units, and make two observations. First, color-tuned neurons of various color preferences were found within single globs. Second, adjacent glob cells tended to have the same color tuning, demonstrating that glob cells are clustered by color preference and suggesting that they are arranged in color columns. Neurons separated by 50 μm , measured parallel to the cortical sheet, had more similar color tuning than neurons separated by 100 μm , suggesting that the scale of the color columns is <100 μm . These results show that color-tuned neurons in PIT are organized by color preference on a finer scale than the scale of single globs. Moreover, the color preferences of neurons recorded sequentially along a given electrode penetration shifted gradually in many penetrations, suggesting that the color columns are arranged according to a chromotopic map reflecting perceptual color space.

column | cone | functional MRI | functional architecture | primate

Cells sharing similar receptive-field properties often are arranged according to columns within the cerebral cortex. Columns can be defined as “clusters of cells sharing common receptive field properties” (1). Cells are clustered by orientation preference within the primary visual cortex (V1) (2), by direction tuning within extrastriate area MT (3), and by disparity tuning within extrastriate area V3 (4). As Hubel and Wiesel (5) and Barlow (6) have argued, clustering cells by receptive-field properties like motion or depth would facilitate the computations required to generate linking features used to associate related parts of an image. The particular linking features would dictate the nature of the columns and perhaps the arrangement of the columns within the cortex. Barlow hypothesized that the cortex responsible for color would contain color columns, “thus making it easy to establish links between regions of the same color in the original image” (6).

Large (millimeter-sized) color modules have been found downstream of V2 using functional MRI (fMRI) and confirmed using single-unit recording (7). We refer to these modules as “globs,” to distinguish them from other sorts of modules found in other regions of the cortex (e.g., face patches, inferior temporal feature columns). The term “glob” has the additional advantage of drawing an analogy with the cytochrome-oxidase blobs of V1, an earlier stage in the hierarchical elaboration of color (8). The globs are millimeter-sized and scattered throughout the posterior inferior temporal cortex—a swath of brain also

referred to as the V4 complex (9). The scale of the globs is similar to the size of the patches of labeled cells found in the posterior inferior temporal cortex (PIT) following anterograde injections of tracer into the subcompartments of V2 (cytochrome-oxidase thin stripes) that are especially concerned with encoding color (10–12). fMRI-guided single-unit recording targeting the globs reveals that the vast majority of glob cells are color-tuned (7). The color tuning of this population strongly suggests its involvement in color perception (13, 14), but it remains unknown if the cells are organized within the globs, as might be expected following Barlow’s hypothesis. Some findings have suggested that color-tuned neurons in the V4 complex are clustered by color preference (15), although the notion that the V4 complex is specialized for color remains controversial (16, 17). Here we examine the functional organization of color within the globs by documenting the color preferences of sequentially encountered neurons along microelectrode penetrations targeted to globs. We find that glob cells are clustered by color preference, suggesting that the globs are organized in color columns.

Results

Color-biased regions in PIT were identified using fMRI as described previously (7, 16). These globs are defined as those regions that show greater fMRI activation to equiluminant color stripes versus black-and-white stripes. Each glob is $\approx 1\text{--}3$ mm in diameter, encompassing hundreds of thousands of neurons. We examined the micro-organization of color-tuned neurons within the globs; specifically, we documented the relationship of the color tuning of adjacent neurons within the globs to test the hypothesis that the neurons are arranged in color columns. We made 34 electrode penetrations into globs, and recorded from a total of 315 units (range, 3–23 cells/penetration; mean, 9.7 ± 5.5 cells/penetration). We recorded from a total of 5 globs; each penetration was restricted to a single glob. On many occasions, pairs of neurons were recorded simultaneously at a given position along the electrode track, isolated with a dual-window discriminator, and distinguished based on waveform (see *Materials and Methods*).

Fig. 1 shows the responses of 6 single units located in fMRI-identified globs; each pair of recordings (A–C) was recorded along a single electrode penetration. The left panels in Fig. 1 A–C show poststimulus time histograms of the responses of a bar of optimal size and orientation to each of 135 different colors and black-and-white. The International Commission on Illumination (CIE) x and y coordinates for the colors are given

Author contributions: B.R.C. and D.Y.T. designed research; B.R.C. performed research; B.R.C. and D.Y.T. contributed new reagents/analytic tools; B.R.C. analyzed data; and B.R.C. wrote the paper.

The authors declare no conflict of interest.

This article is a PNAS Direct Submission.

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This article contains supporting information online at www.pnas.org/cgi/content/full/0810943106/DCSupplemental.

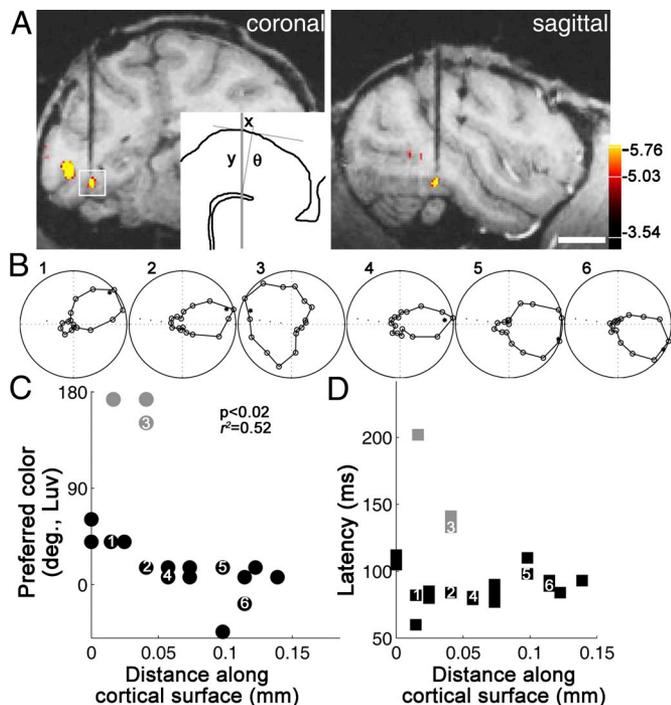


Fig. 2. Sequence regularity of color tuning along an electrode penetration. (A) High-resolution confirmation MRI of electrode with superimposed functional activation (color > black and white). (Scale bar: 1 cm.) The inset shows an enlargement of the boxed region with the cortex outlined. (B) Color tuning of 6 sequentially encountered neurons (see Fig. 1 for axes). (C) Color tuning of all neurons encountered along the electrode path ($n = 22$ cells; the numbers indicate the cells shown in B). Data points from some cells are overlapping. The gray symbols are outliers, with color preferences that are complementary to red. (D) Latency of the sequentially encountered neurons. Gray symbols indicate outliers in C.

replotted in Fig. 4B, are centered on the x - y diagonal, which shows that pairs of adjacent neurons had similar color tuning. Fig. 4A also shows that although neurons tuned to almost all colors were found, the population overrepresents certain colors, which correspond roughly to psychologically important hues including red, green, yellow, and blue, as described previously (12).

For the second analysis, we adapted a procedure first introduced by LeVay and Voigt (16). We calculated the magnitude of the difference in optimal color (Δ color preference) between pairs of recorded neurons as a function of the distance parallel to the cortical sheet that separated the pair of neurons; for example, a penetration of 6 sequentially encountered cells would yield 15 pairwise comparisons. Our recordings yielded 1,522 comparisons for pairs of cells separated by ≤ 0.25 mm (Fig. 5). The average difference in color tuning of neurons separated by 0–50 μm was 45° in the CIELUV color space, while the difference in color tuning of neurons separated by 51–100 μm was 60° , a significant difference ($P < .05$, multiple-comparison test), indicating that the scale of the color clusters was finer than 100 μm .

Discussion

Here we extend our previous report of specialized color modules in PIT cortex (7) by showing that each module, or glob, is not restricted to neurons of a single color preference. We also show that adjacent glob cells tend to have similar color tuning, forming clusters of cells that are arranged spatially within the cortex. These results show that color-tuned neurons are arranged in color columns that are of a finer scale than single globs. Color preferences of neurons recorded sequentially along a given

electrode penetration shifted gradually in many penetrations, suggesting that the columns are arranged according to a “chromotopic map” that reflects perceptual color space.

Although there has been considerable progress in understanding the neural basis for color perception, we still do not know how signals from the 3 cone classes are transformed to bring about our perception of color (19, 20). Some specialized cells in the retina, lateral geniculate nucleus, and V1 show cone-opponent responses of the sort that would be expected to be necessary to bring about color perception. But these cells are restricted to encoding only a limited range of colors that does not reflect the dimensions of perceptual color space (21–24). Color-selective neurons also are found in subcompartments of V2 known as the thin stripes (25), which receive color signals from V1. Optical imaging experiments suggest that neurons within the thin stripes form hue maps (26), but single-unit experiments show that the population of V2 color neurons has the same limited range of color preferences found in V1 (25, 27).

Komatsu and colleagues (28, 29) have found that neurons in area TE, downstream of V2 along the visual processing hierarchy, show remarkable hue specificity, with the population of neurons representing most (if not all) of perceptual color space. Whether the specificity for color arises in these neurons or is instead inherited from a previous stage is unknown. Zeki (13) has found color-selective neurons in brain regions more proximal to V2 than area TE (9), in area V4, suggesting that color specificity arises before area TE. Using combined fMRI and single-unit recording, we have found specialized color modules, dubbed “globs,” in and around area V4 (7). Retinotopic mapping in the same animals shows that globs are located in V4 as well as immediately adjacent, anterior regions of the inferior temporal cortex, including PITd and TEO (7). The globs represent an attractive place to study the neural basis for color because they are the first stage along the visual pathway in which color responses seem to correspond to perception (14, 30; see also ref. 31). Here we report that adjacent glob cells were much more likely to have the same hue tuning, suggesting the cells are arranged according to color columns. Such an organization may account for perceptions produced by microstimulation of a putatively homologous region in humans (32).

The color tuning of adjacent neurons was usually similar, but there were occasional abrupt interruptions in which adjacent neurons appeared to have complementary color tuning. The latency of response of these outliers appeared to be longer. Our current interpretation of these outliers is as follows. Color-tuned neurons in the extrastriate cortex can have spatially structured chromatically opponent receptive fields (33); moreover, glob cells often are selective for stimulus shape (7), and receptive-field surrounds of color cells tend to have longer latencies than receptive-field centers, at least in V1 where this property has been studied systematically (22). Taken together, these findings suggest that the measured responses of the outliers can be attributed to surround activation, which in turn suggests that the spatial dimensions of the stimulus were poorly matched to the receptive fields of these cells.

Columns may have no functional significance (1). Regardless, they may arise as a consequence of the brain wiring required for a brain area to perform a given computation. Columns may result from selective pressures to minimize the axon lengths required for the local calculations important for a brain region to do its job (5, 6, 34), in which case the columnar organization within a given area would reflect the functional importance of the area. In all cases in which columns are found (with the possible exception of ocular dominance columns, whose function remains elusive), columnar organization relates to the area’s function: orientation columns in primate V1 predict the role of V1 in extracting image contours, direction-selective columns of MT predict the importance of MT in processing motion, and

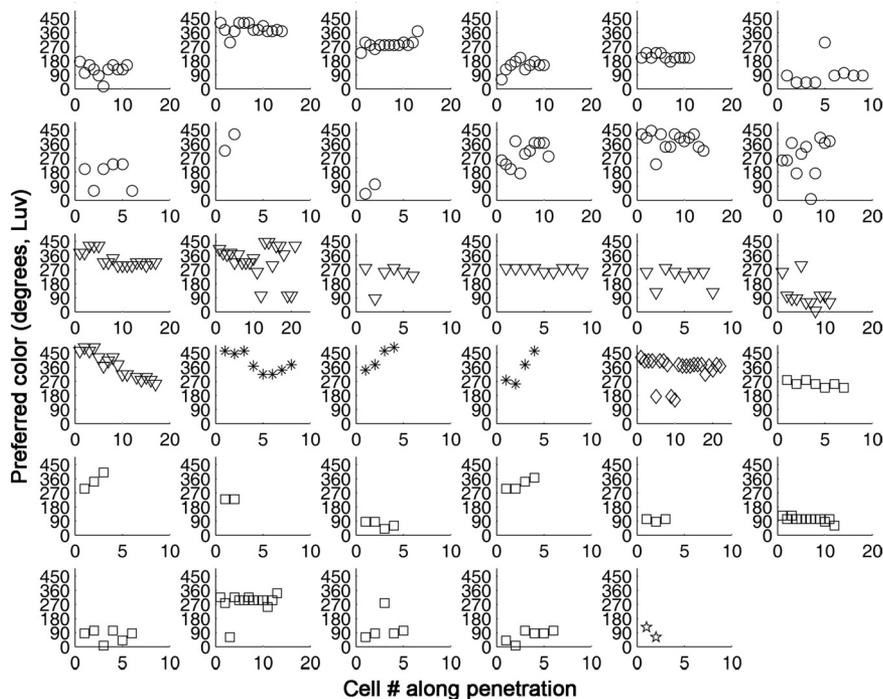


Fig. 3. Preferred color of all neurons for all electrode penetrations. Each panel shows the responses for a single penetration. The different symbols indicate recordings from different globs. The circle, triangle, asterisk, and diamond correspond to globs 3, 2, 4, and 7, respectively, in ref. 7; the square corresponds to the glob shown in Supplementary Figure 4 of ref. 7. Glob 3 is within the region designated PITd, immediately anterior to the V4 boundary defined retinotopically; globs 2, 4, and 7 are within V4.

disparity columns in V3 predict the involvement of V3 in encoding stereoscopic depth. Thus evidence for color columns within the globs provides another clue that the globs are involved in color perception.

Cell clustering by receptive-field properties meets one definition of a column (see earlier), although the term “column” is used in various situations (1). The term often describes a clustering by receptive-field property that extends the entire vertical thickness of the cortex, although this is not always the case; the orientation columns of V1 do not appear to involve layer 4, where the cells typically are unoriented (8). Color-tuned cells seem to exist throughout the cortical thickness (7), suggesting that the color columns involve all layers, but this requires further study.

We do not yet have sufficient data to determine the precise spatial arrangement of the color columns, although Fig. 5 shows that a separation of $\approx 100 \mu\text{m}$ is sufficient for a significant change in color tuning, indicating that the color columns are narrower

than $100 \mu\text{m}$. Whether these columns have discrete boundaries or transition smoothly from one to the next (like the orientation columns in V1) is unclear, although the data in Figs. 2 and 3

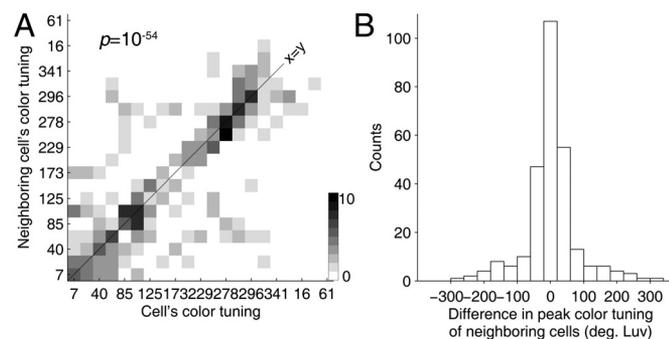


Fig. 4. Adjacent neurons have similar color preferences. (A) The color preference of neighboring cells is highly correlated ($P = 10^{-54}$; $r^2 = 0.58$). Axes correspond to the CIELUV polar-angle designation for each color (Table S1 and Fig. S2). The scale bar indicates the number of pairs of cells. Color preference is defined as the CIELUV color stimulus that elicited the maximal response. (B) Color separation between the preferred colors of adjacent neurons. The mean of the distribution is not significantly different from 0 (Student *t* test).

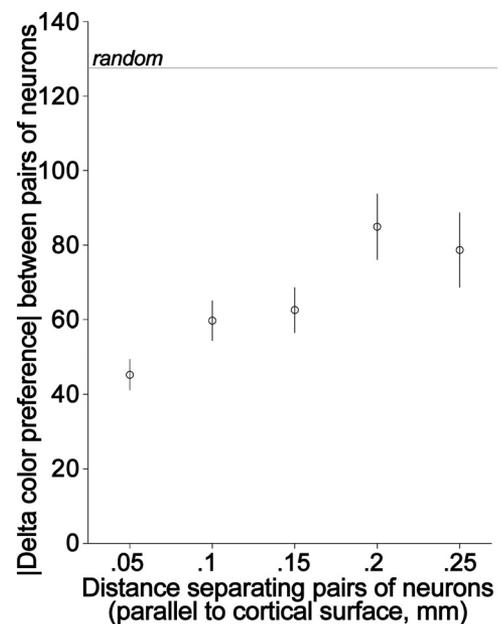


Fig. 5. Neurons that are closer together are more likely to have similar color tuning than neurons that are further apart. The difference in preferred color between pairs of recorded neurons is plotted against the distance separating the cells measured parallel to the cortical surface. Comparison intervals generated by the MATLAB *multcompare* function are shown. Neurons along penetrations perpendicular to the cortical surface, and neurons recorded simultaneously, are at 0 mm. The difference in color tuning of a given pair of neurons depends on the separation distance ($P < .001$, ANOVA). With increasing separation distances, pairs of neurons are significantly more likely to have different color tuning ($P < .02$). Moreover, all pairs of neurons separated by .25 mm or less have significantly lower color-tuning differences than predicted by chance (random).

suggest that the transitions are gradual, leaving open the possibility that the columns are arranged according to a chromotopic map. Consistent with this idea, we found that the tuning of sequentially encountered neurons along a few electrode penetrations shifted gradually through small sections of the perceptual color space (red–orange–yellow–green–blue–purple–red; Figs. 2 and 3), although no penetration spanned the entire color space. In any event, the scale of the clusters is at least an order of magnitude smaller than the scale of the globs, so there is sufficient space within a single glob for a complete complement of color columns to represent all of color space, prompting us to wonder whether each glob functions as a color “hypercolumn.”

Materials and Methods

All animal procedures were performed in accordance with the National Institutes of Health’s Guide for Care and Use of Laboratory Animals, regulations for the welfare of experimental animals issued by the Federal Government of Germany, and stipulations of Bremen authorities.

Functional Magnetic Resonance Imaging. Experimental procedures for fMRI in alert macaques are available elsewhere (22, 35). Globs were identified using procedures described previously (7). Two macaque monkeys were trained for a juice reward to fixate a visual display while the animals were situated in a chair fit for a Siemens 3T horizontal-bore scanner. Red and blue equiluminant and achromatic gratings (0.29 cycle/degree, drifting at 0.29 cycle/s, alternating direction every 2 s) were displayed with an LCD projector in separate blocks interleaved with blocks of uniform gray, maintaining a constant mean luminance of 19.3 cd/m². Luminance was measured with a Minolta CS-100 chromometer. The minimal response of area MT was used to determine which stimuli were functionally equiluminant (16). The equiluminant stimulus had an L-cone contrast of 0.33, an M-cone contrast of 0.38, and an S-cone contrast of 0.98, where L-cone contrast = $(L_{red} - L_{blue}) / (L_{red} + L_{blue})$, with L_{red} the L activity elicited by the red phase of the stimulus and L_{blue} the L activity elicited by the blue phase (36). fMRI requires extensive signal averaging, limiting the number of stimulus dimensions that we could test. For the fMRI color stimulus, we chose to use a red-blue grating, which would be expected to activate both chromatic cardinal axes and a majority of the cone-opponent neurons in early visual areas. We chose the particular spatial frequency because it would be expected to activate the relatively coarse receptive fields of color neurons in earlier visual areas, which serve as the inputs to the brain region investigated in this work.

Scanning was done using an i.v. contrast agent, ferumoxtran-10 (Sinerem, Guerbet, France; concentration 21 mg Fe/mL in saline, 8 mg Fe/kg). The voxel size was 1.25 mm³ for most experiments and 1.5 mm³ in a few, yielding qualitatively similar results. The spatial distribution of globs has been shown previously (7), along with the specific globs that were targeted for the single-unit experiments described here (Fig. 3). Fixation was continuously monitored during all experiments using an ISCAN infrared eye monitor (ISCAN, Burlington, MA), and monkeys were rewarded only for maintaining constant fixation. Data analysis was performed using FREESURFER software (<http://surfer.nmr.mgh.harvard.edu/>). Data were motion-corrected with the AFNI motion-correction algorithm and intensity-normalized (35).

Single-Unit Recording. Detailed methods for single-unit recording in alert macaques have been given elsewhere (21, 37), as has the method for targeting the globs (7). A total of 23 penetrations targeting globs were used in 1 animal, and 10 penetrations were used in a second animal. An additional penetration (2 cells) was made into the anterior wall of the lunate sulcus of a third animal at the location of a putative glob, although a confirmational MRI was not done. Single-unit responses were measured in alert fixating animals using routine electrophysiological recording apparatus (BAK Electronics, Mount Airy, MD) and tungsten microelectrodes (12–18 m Ω) coated with an insulation film sparing the tip (FHC, Brunswick, ME) (38). Precise electrode depths were maintained using an oil hydraulic microdrive (Narishige). The initial entrance of the electrode into the brain, the depth of any white matter–gray matter junctions, and any exits and reentrances of an electrode passing through a sulcus were documented. The mean penetration length measured from the first glob cell encountered to the last one recorded in a given penetration was $41 \pm 35 \mu\text{m}$ (range, 0–880 μm). An attempt was made to record from every neuron encountered along an electrode path, which constrained the length of the penetrations. A total of 284 pairs of adjacent cells were recorded (Fig. 4A and B). Up to 2 neurons were isolated from a given depth of recording. “Adjacent cell” was defined as either a simultaneously recorded cell or the

next cell recorded after advancement of the electrode following a recording of a cell. The majority of adjacent cells (180/284) were recorded at a $< 25\text{-}\mu\text{m}$ separation distance along the electrode track; 218/284 were recorded at a $< 100\text{-}\mu\text{m}$ separation distance, and 260/284 were recorded at a $< 200\text{-}\mu\text{m}$ separation distance. After numerous recording sessions, with the electrode still in position at the end of the penetration, the electrode was carefully glued to the plastic guide tube, and the electrode advancer was removed. The animal was transferred to the MRI scanner, and a high-resolution anatomical scan was made to confirm the location of the electrode (Fig. 2A).

To provide a common basis for comparing recordings across electrode penetrations, which entered the cortex at various angles, Fig. 5 defines the distance separating pairs of cells as the distance between the cells along the penetration projected on the flattened cortical sheet. This distance was determined using trigonometry after measuring the angle of the penetration relative to the cortical surface (using the MRI electrode confirmation) and the neuron depth. For example, in Fig. 2A, we measured θ from the MRI, and using the known electrode depth (“ y ”) we calculated the distance parallel to the cortical sheet (“ x ”) using $\sin(\theta)$. The electrode entered the cortex normal to the cortical sheet in the sagittal plane. In Fig. 5, neurons with separation distances between 0 and 0.05 mm are plotted at 0.05 mm, neurons with separation distances between 0.05 mm and 0.1 mm are plotted at 0.1 mm, and so on.

Color Tuning. Single-unit responses were measured as described previously (7). We used optimal stimulus dimensions (bar length, width, and position) for each cell, and then varied the color of the stimulus. The stimulus set comprised 135 colors, consisting of 3 sets of 45 colors, plus black and white. The colors within a set were equiluminant with one another, spanned the full color gamut of the monitor, and were as saturated as the monitor could produce (CIE x and y coordinates in Table S1). The colors of one set were brighter (7.8 cd/m²) than the background, those of another set were photometrically equiluminant with the background (3.05 cd/m²), and those of the third set were darker than the background (0.62 cd/m²). Responses to black (0.02 cd/m²) and white (78.2 cd/m²) were measured as well. All colors had color discernible to human observers. The 2 color sets of equal and higher luminance than the adapting background were vividly colored, photopic, and likely did not involve rods; stimuli of the lowest luminance set may be considered mesopic and to have involved rods, although these stimuli were surrounded by an adapting background that maintained photopic conditions.

The dimensions of perceptual color space are unsettled (30), so it is not clear what stimulus set would be appropriate for assaying color tuning. The stimulus set that we used was large enough so that we could select responses to a subset of stimuli to measure tuning defined by the human CIELUV color space, which is more or less uniform. The stimuli of this “CIELUV subset” were all equiluminant with one another and with the background, and the CIELUV color space was sampled at uniform color-angle intervals (Fig. S2; Table S1). All of the analysis in this work is restricted to responses to this subset of stimuli, but the conclusions are not affected if the entire stimulus set is included.

To obtain neural responses, the different colors were presented in pseudorandom order. Within the time frame in which the 135 colors were presented, black-and-white versions of the stimulus were each presented 3 times. Responses of a given cell were measured to multiple presentations of this cycle and averaged. Each stimulus was displayed for 200 ms and separated in time from the previous and subsequent stimuli by 200 ms, during which time the animal was rewarded for maintaining constant fixation. Every visually responsive cell was tested; a cell was included in the analysis if responses to at least 2 complete stimulus cycles were obtained. In most cases, the cell was held long enough to allow us to measure the responses to at least 5 stimulus cycles.

The response to each color was defined as the average response during a 200-ms window, corresponding to the duration of the stimulus, following the visual latency, defined as > 3 standard deviations above the background firing rate. Optimal color tuning in all analyses was defined as the stimulus of the CIELUV subset that elicited the maximum response. The tuning curves obtained by analyzing the responses to the CIELUV subset (e.g., polar plots in Figs. 1 and 2) were then interpolated every 11.25°, and the Rayleigh statistical test was performed (asterisks in Fig. 1 and Fig. S1). The length of the Rayleigh vector was defined as 1 minus the P value of the vector and thus varied from 0 (for circular distributions centered at the origin) to 1 (for highly asymmetric distributions reflecting maximal hue tuning). Color tuning also was assessed using a color-tuning index: $CI = [\text{maximum response to any color} - \text{maximum response to black or white}] / [\text{maximum response to any color} + \text{maximum response to black or white}]$. CI values of 0.5 indicate that the color response was 3 times the response to black or white; cells with a $CI \geq 0.5$ or significant Rayleigh vector lengths were considered color-tuned (Fig. S1). More than 96% of the recorded neurons were considered color-tuned; all cells (including the minority that were not color-tuned) were included in the analysis.

ACKNOWLEDGMENTS. We thank Nicole Schweers and Sebastian Moeller for technical assistance and Margaret Livingstone, David Hubel, and Thorsten Hansen for comments on the manuscript. We also thank Anya Hurlbert for her expert advice on color spaces and MATLAB code. This work was

funded by the Alexander von Humboldt Foundation, the German Ministry of Science (Grant 01GO0506, Bremen Center for Advanced Imaging), the Neuroscience Program, Wellesley College, and the Whitehall Foundation.

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